



3661\$
#13

PTO/SB/21 (6-98)

Please type a plus sign (+) inside this box → ☐

Approved for use through 09/30/2000. OMB 0651-0031
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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/615,107	
	Filing Date	07/13/2000	
	First Named Inventor	Thomas P. Glenn	
	Group Art Unit	3661	
	Examiner Name	C. Oliva	
Total Number of Pages in This Submission		Attorney Docket Number	AMKOR-007A

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OCT 15 2001

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69 and Accompanying Petition) <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): Form PTO-1449 Disclosure Documents Return receipt postcard
REMARKS: <div style="text-align: right;">RECEIVED OCT 10 2001</div>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual Name	Mark B. Garred STETINA BRUNDA GARRED & BRUCKER
Signature	
Date	9/25/01

TO 3600 MAIL ROOM

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <u>9-25-2001</u>			
Typed or printed name	Kristin Stenberg		
Signature		Date	9-25-2001

† SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231



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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$180.00)

Complete if Known

Application Number	09/615,107
Filing Date	07/13/2000
First Named Inventor	Thomas P. Glenn
Examiner Name	C. Oliva
Group Art Unit	3661
Attorney Docket No.	AMKOR-007A

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

19-4330

Deposit
Account
Name

Stelina Brunda

- X Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

X Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility Filing fee	
106 320	206 160	Design Filing fee	
107 490	207 245	Plant Filing fee	
108 710	208 355	Reissue Filing fee	
114 150	214 75	Provisional Filing fee	

SUBTOTAL (1) \$

2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims - 20** =	X		
Independent Claims - 3 =	X		
Multiple Dependent			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	
109 80	209 40	
110 18	210 9	

SUBTOTAL (2) \$

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge -late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifty month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive-unavoidable	
141 1,240	241 620	Petition to revive-unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisionanl applications	
126 240	126 240	Submission of Information Disclosure Statement	\$180.00
581 40	5 81 40	Recording each patent assignment per property (times number of properties)	
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify)

* Reduced by Basic Filing Fee Paid SUBTOTAL (3) \$180.00

SUBMITTED BY:

Complete (if applicable)

Name (Print/Type)	Mark B. Garred	Registration No. (Attorney/Agent)	34,823	Telephone	(949) 855-1246
Signature				Date	9/25/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231



Case: AMKOR-007A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Thomas P. Glenn)
Serial No.: 09/615,107)
Filed: 07/13/2000)
For: Plastic Integrated Circuit)
Package and Method and Lead)
Frame for Making the Package)

Art Unit: 3661

Examiner: C. Oliva

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TECHNOLOGY CENTER 2800

INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. SECTION 1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

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OCT 10 2001

Dear Sir/Madam:

PO 3600 MAIL ROOM

Pursuant to 37 C.F.R. § 1.97, the following Information Disclosure Statement is submitted as listed on form PTO-1449 enclosed herewith in duplicate. Copies of all disclosure documents are attached hereto for the Examiner's review.

No representation is made that the references disclosed herein legally constitute prior art, or that more relevant references are not available. The disclosure documents enclosed herewith and listed on the attached form (PTO-1449) are printed in the English language and/or accompanied by an Abstract published in the English language.

The references listed herein, when taken alone or in combination are not believed to disclose nor make obvious the invention as claimed in the subject application.

10/05/2001 SDENBOB1 00000097 09615107

01 FC:126

180.00 00

As this Information Disclosure Statement is being submitted after three months from the filing date of the subject application and/or after receipt of the initial Office Action, a filing fee check in the amount of \$180.00 is enclosed herein. If any additional fee is required, please charge Deposit Account Number 19-4330.

Respectfully submitted,

Dated: 9/25/01
Customer No. 007663

By:



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